

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

#4  
9-14-01  
SM



Inventor(s): Robert D Thompson et al

Serial No.: Not known

Examiner: Not known

Filing Date: Jan 31, 2001

Group Art Unit:

Title: Look-up Table Based USB Identification

COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97 (c) together with either a:  
☐ Statement under 37 CFR 1.97(e), or  
☐ a \$240.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d) together with a:  
☐ Statement under 37 CFR 1.97(e), and  
☐ a petition under 37 CFR 1.97(d)(2), and  
☐ a \$130.00 petition fee set forth in 37 CFR 1.17(i).  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Please charge to Deposit Account **08-2025** the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **08-2025** pursuant to 37 CFR 1.25.

☒ Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

I hereby certify that this correspondence is being deposited with the United States Postal Service as ~~first class~~ mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

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Typed Name: Peggy Oyama

Signature: Peggy Oyama

Respectfully submitted,

Robert D Thompson et al

By Alexander J Neudeck

Alexander J Neudeck

Attorney/Agent for Applicant(s)  
Reg. No. 41,220

Date: 1/31/01

Telephone No.: (970) 898-4931

## PATENT APPLICATION

Sheet 1 of 1

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

10008006-1

SERIAL NO.

APPLICANT

Robert D. Thompson et al.

FILING DATE

1/31/01

GROUP

3c903 U.S. PTO

09/773026



## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL |    | DOCUMENT<br>NUMBER | DATE | NAME | CLASS | SUB<br>CLASS |
|---------------------|----|--------------------|------|------|-------|--------------|
|                     | 1A |                    |      |      |       |              |
|                     | 1B |                    |      |      |       |              |
|                     | 1C |                    |      |      |       |              |
|                     | 1D |                    |      |      |       |              |
|                     | 1E |                    |      |      |       |              |
|                     | 1F |                    |      |      |       |              |
|                     | 1G |                    |      |      |       |              |
|                     | 1H |                    |      |      |       |              |
|                     | 1I |                    |      |      |       |              |
|                     | 1J |                    |      |      |       |              |
|                     | 1K |                    |      |      |       |              |

## FOREIGN PATENT DOCUMENTS

|  |    | DOCUMENT<br>NUMBER | DATE | NAME | CLASS | SUB<br>CLASS | TRANSLATION |    |
|--|----|--------------------|------|------|-------|--------------|-------------|----|
|  |    |                    |      |      |       |              | YES         | NO |
|  | 1L |                    |      |      |       |              |             |    |
|  | 1M |                    |      |      |       |              |             |    |
|  | 1N |                    |      |      |       |              |             |    |
|  | 1O |                    |      |      |       |              |             |    |
|  | 1P |                    |      |      |       |              |             |    |

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

|    |    |  |
|----|----|--|
| SL | 1Q | Universal Serial Bus Specification, Revision 2.0, April 27, 2000 |
|    | 1R |  |
|    | 1S |  |

EXAMINER

Sumata Lefkowitz

DATE CONSIDERED

7/29/03